

NATVERLAL & SONS STOCKBROKERS PVT LTD

RISK MANAGEMENT

1. Depending on the Client and his credibility, we will allow him to do business up to a limit. Once he/she reaches his/her limit we will stop his trading till we recover his/her dues.
2. In some cases we do not release the shares till the payment is received from the client and the cheque is cleared.
3. If we see that some client is not paying and his shares are with us, we can dispose off his shares in the market and clear our dues.
4. Payments to clients are released only once payments of shares is cleared and confirmed. If shares are not delivered and auctioned, we will stop payment of auctioned shares.
5. If Client disputes the claim, we can go for arbitration.
6. In the last resort we can file a case in the court of law for non-payment.